Notice of Exempt Offering of Securities

# **U.S. Securities and Exchange Commission**

Washington, DC 20549

Expires: February 28, 2009

OMB Number: 3235-0076

Estimated average burden hours per response: 4.00

#### (See instructions beginning on page 5)

Intentional misstatements or omissions of fact constitute federal criminal violations. See 18 U.S.C. 1001.

Item 1. Issuer's Identity			
Name of Issuer	Previous Name(s)	None	Entity Type (Select one)
Global Equipment Services and Manufacturing Inc.			Corporation  Limited Partnership
Jurisdiction of Incorporation/Organization			Limited Liability Company
California			General Partnership
Year of Incorporation/Organization (Select one)  ☐ Over Five Years Ago ☑ Within Last Five Years (specify year)	2006 Yet	to Be Formed	Business Trust  Other (Specify)
(If more than one issuer is filing this notice, checked them 2. Principal Place of Business and			s) by attaching Items 1 and 2 Continuation Page(s).)
Street Address 1		Street Address 2	
2372-E Qume Drive			
City Sta	ate/Province/Country	ZIP/Postal Code	Phone No.
San Jose C	Δ.	95131	(408) 441-0682
			<u> </u>
Item 3. Related Persons			
Last Name	First Name		Middle Name
Tran	Don		
Street Address 1	/	Street Address 2	-
2372-E Qume Drive			PROCECCO SEC Mail Proceeding
City Stat	e/Province/Country	ZIP/Postal Code	SHUMIN SHUMIN
San Jose CA		95131	MAR 2 2009 ,FEB 1 1 2009
Relationship(s):	Pirector Promoter	7	HCMSON RELITERS Washington De
Clarification of Response (if Necessary)			NUIVIOUN REUTERS Washington, DC
(Identify a	dditional related person:	s by checking this bo	ox 🔀 and attaching Item 3 Continuation Page(s).)
Item 4. Industry Group (Select one	)		
Agriculture Banking and Financial Services  Commercial Banking Insurance Investing Investment Banking Pooled Investment Fund If selecting this industry group, also select or type below and answer the question below: Hedge Fund Private Equity Fund Venture Capital Fund Other Investment Fund Is the issuer registered as an investme company under the Investment Compa	ent  any  Energ  Coal   Environt Oil & Health Ca Bioteo Health Hospi Pharm Other Real Esta	ric Utilities gy Conservation Mining onmental Services Gas Energy tre chnology h Insurance itals & Physicians maceuticals Health Care uring	Construction REITS & Finance Residential Other Real Estate Retailing Restaurants Technology Computers Telecommunications Other Technology Travel Airlines & Airports Lodging & Conventions Tourism & Travel Services Other Other
SEC1972 (09/08)			O9001187

SEC1972 (09/08)

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Item 5. Issuer Size (Select one)

Revenue Range (for issuer not specifying "hedge" or "other investment" fund in Item 4 above)    No Revenues		Aggregate Net Asset Value Range (for issuer specifying "hedge" or "other investment" fund in litem 4 above)  No Aggregate Net Asset Value  \$1 - \$5,000,000  \$5,000,001 - \$25,000,000  \$25,000,001 - \$50,000,000  \$50,000,001 - \$100,000,000  Over \$100,000,000  Decline to Disclose  Not Applicable
Rule 504(b)(1) (not (i), (ii) or (iii))	section 3(c)(1) Section 3(c)(2) Section 3(c)(3) Section 3(c)(4) Section 3(c)(5) Section 3(c)(6) Section 3(c)(7)	Section 3(c)(9)  Section 3(c)(10)  Section 3(c)(11)  Section 3(c)(12)  Section 3(c)(13)  Section 3(c)(14)
Item 7. Type of Filing  New Notice OR Amendment  Date of First Sale in this Offering: 1/29/2009  Item 8. Duration of Offering	OR □ Fi	rst Sale Yet to Occur
Does the issuer intend this offering to last more that Item 9. Type(s) of Securities Offered (Select a		☐ Yes   No
<ul><li>☑ Equity</li><li>☐ Debt</li><li>☐ Option, Warrant or Other Right to Acquire Another Security</li></ul>	☐ Tenant-ir	nvestment Fund Interests n-Common Securities Property Securities escribe)
Security to be Acquired Upon Exercise of Option, Warrant or Other Right to Acquire Security		
Item 10. Business Combination Transaction  Is this offering being made in connection with a business transaction, such as a merger, acquisition or exchange of Clarification of Response (if Necessary)	s combination offer?	☐ Yes ⊠ No

Form D 2

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#### Item 11. Minimum Investment

Minimum investment accepted from an	y outside i	investor \$	N/A					
Item 12. Sales Compensation								
Recipient			Recipient CR	D Number				
IT-Farm Nozomi Investment Limited Pa	artnership	0					⊠ No CR	D Number
(Associated) Broker or Dealer	None		(Associated)	Broker or Dea	ler CRD Nun	nber		
	· · · · · · · · · · · · · · · · · · ·						☐ No CR	D Number
Street Address 1			Street Addre	ss 2	·			
Shinjuku i-Land Tower 5F, 6-5-1 Nishi-	Shinjuku			<del></del>	<u></u>			
City		State/Province	Country	ZIP/Postal C	ode	i ·		
Shinjuku, Tokyo		Japan		163-1305				
States of Solicitation All States  AL AK AZ AR  IL IN IA KS  MT NE NV NH  RI SC SD TN  (Identify additional person	CA KY NJ TX	CO LA CONTRACTOR CONTR	] CT	MA MA	☐ FL ☐ MI ☐ OH ☐ WV and attachi	GA MN OK WI ng Item	☐ MS ☐ OR ☐ WY	☐ ID ☐ MO ☐ PA ☐ PR tion Page(s).)
Item 13. Offering and Sales Amo	ounts				•			
(a) Total Offering Amount	\$			1,493,500.30	OR		ndefinite	
(b) Total Amount Sold	\$			1,493,500.30	0			
(c) Total Remaining to be Sold (Subtract (a) from (b))	\$			(	OR		Indefinite	
Clarification of Response (if Necessary)			<del></del> .	<u></u>	· <u>- · · · · · · · · · · · · · · · · · ·</u>			
Item 14. Investors		····						
Check this box  if securities in the off number of such non-accredited investor				[	0		a investors,	and enter the
Enter the total number of investors who	already ha	ive invested in t	he offering:		2			
Item 15. Sales Commissions an	d Finde	rs' Fees Ex	penses	,				
Provide separately the amounts of sales check the box next to the amount.	commissi	ons and finders	fees expenses	s, if any. If an	amount is n	ot knowr	n, provide an	estimate and
		\$	Sales Commis	sions \$		0	☐ E:	stimate
Clasification of Deceases (# Naccess			Finders'	Fees \$	43,	500.21	E:	stimate
Clarification of Response (if Necessary)								
								Form D

number.

# U.S. Securities and Exchange Commission

Washington, DC 20549

Frovide the amount of the gross proceeds of the offering that has been or is proposed to be used for payments to any of the persons required to be named as executive officers, directors or promoters in response to titem 3 above. If the amount is unknown, provide an estimate and check the box next to the amount.  Clarification of Response (if Necessary)  Signature and Submission  Please verify the information you have entered and review the Terms of Submission below before signing and submitting this notice.  Terms of Submission. In Submitting this notice, each identified issuer is:  Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.  Irrevocably appointing each of the Secretary of the SEC and the Securities Administrator or other legally designated officer of the State in which this issuer maintains its principal place of business and any State in which this inotice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mall, in any Federal or state action, administrative proceeding, or arbitration brough against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration brough against the issuer in any places subject to the jurisdiction of the United States, if the action, proceeding or arbitration brough against the issuer in any places subject to the jurisdiction of the United States, if the action, proceeding or arbitration is arise out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of it the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the	Item 16. Use of Proceeds		
Please verify the information you have entered and review the Terms of Submission below before signing and submitting this notice.  Terms of Submission. In Submitting this notice, each identified issuer is:  Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.*  Irrevocably appointing each of the Secretary of the SEC and the Secretices Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeling that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brough against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that its be subject of this notice, and folls in founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes; or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.  Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 (b)(2)(iii).  *This undertaking does not affect any limits Section 102(a) of the National Securities Markets improvement Act of 1996 (*NSMIA*) (Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)) imposes on the ability of States to require information.	used for payments to any of the persons required to be named as e directors or promoters in response to Item 3 above. If the amount is unk	executive officers, \$ 360,000	
Please verify the information you have entered and review the Terms of Submission below before signing and submitting this notice.  Terms of Submission. In Submitting this notice, each identified issuer is:  Notifying the SEC and/or each State in which this notice is filled of the offering of securities described and undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.*  Irrevocably appointing each of the Secretary of the SEC and the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brough against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration against out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes; or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.  Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 for one of the reasons stated in Rule 505(b)(2)(iii).  *This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the abili	Clarification of Response (if Necessary)		
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Notifying the SEC and/or each State in which this notice is filled of the offering of securities described and undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.*  Irrevocably appointing each of the Secretary of the SEC and the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brough against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes; or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.  Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 for one of the reasons stated in Rule 505(b)(2)(iii).  *This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require Information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the	Signature and Submission		····
Notifying the SEC and/or each State in which this notice is filed of the offering of securities described and undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.  Irrevocably appointing each of the Secretary of the SEC and the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brough against the issuer in any place subject to the jurisdiction of the United States, if the action, processor or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes; or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.  Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 for one of the reasons stated in Rule 505(b)(2)(iii).  *This undertaking does not affect any limits Section 102(a) of the National Securities Markets improvement Act of 1996 ("NSMIA") (Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)) imposes on the ability of States to require information. As a result, if the securities from proposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D are "covered securi	Please verify the information you have entered and review the	Terms of Submission below before signing and	submitting this notice.
undertaking to furnish them, upon written request, in accordance with applicable law, the information furnished to offerees.*  Irrevocably appointing each of the Secretary of the SEC and the Securities Administrator or other legally designated officer of the State in which the issuer maintains its principal place of business and any State in which this notice is filed, as its agents for service of process, and agreeing that these persons may accept service on its behalf, of any notice, process or pleading, and further agreeing that such service may be made by registered or certified mail, in any Federal or state action, administrative proceeding, or arbitration brough against the issuer in any place subject to the jurisdiction of the United States, if the action, proceeding or arbitration (a) arises out of any activity in connection with the offering of securities that is the subject of this notice, and (b) is founded, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these statutes; or (ii) the laws of the State in which the issuer maintains its principal place of business or any State in which this notice is filed.  Certifying that, if the issuer is claiming a Rule 505 exemption, the issuer is not disqualified from relying on Rule 505 for one of the reasons stated in Rule 505(b)(2)(iii).  *This undertaking does not affect any limits Section 102(a) of the National Securities Markets Improvement Act of 1996 ("NSMIA") [Pub. L. No. 104-290, 110 Stat. 3416 (Oct. 11, 1996)] imposes on the ability of States to require information. As a result, if the securities that are the subject of this Form D are "covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this unde	Terms of Submission. In Submitting this notice, each ic	dentified issuer is:	
"covered securities" for purposes of NSMIA, whether in all instances or due to the nature of the offering that is the subject of this Form D, States cannot routinely require offering materials under this undertaking or otherwise and can require offering materials only to the extent NSMIA permits them to do so under NSMIA's preservation of their anti-fraud authority.  Each identified issuer has read this notice, knows the contents to be true, and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person. (Check this box and attach Signature Continuation Pages for signatures of issuers identified in Item 1 above but not represented by signer below.)  Issuer(s)  Name of Signer	Irrevocably appointing each of the Secretary of the State in which the issuer maintains its principal place of buryoness, and agreeing that these persons may accept service of such service may be made by registered or certified mail, in an against the issuer in any place subject to the jurisdiction of the activity in connection with the offering of securities that is the provisions of: (i) the Securities Act of 1933, the Securities Exchicompany Act of 1940, or the Investment Advisers Act of 1940, State in which the issuer maintains its principal place of busing Certifying that, if the issuer is claiming a Rule 505 extended the reasons stated in Rule 505(b)(2)(iii).	SEC and the Securities Administrator or other legusiness and any State in which this notice is filed on its behalf, of any notice, process or pleading, my Federal or state action, administrative procee e United States, if the action, proceeding or arbite subject of this notice, and (b) is founded, direct lange Act of 1934, the Trust Indenture Act of 1934, or any rule or regulation under any of these statess or any State in which this notice is filed. The issuer is not disqualified from relying the securities Markets Improvement Act of 1996 (*Notional Securities Markets Improvement	gally designated officer of l, as its agents for service of and further agreeing that ding, or arbitration brought tration (a) arises out of any ly or indirectly, upon the 9, the Investment tutes; or (ii) the laws of the ling on Rule 505 for one of ISMIA*) [Pub. L. No. 104-290,
Issuer(s) Name of Signer	"covered securities" for purposes of NSMIA, whether in all instances of routinely require offering materials under this undertaking or otherw so under NSMIA's preservation of their anti-fraud authority.  Each identified issuer has read this notice, knows the contents undersigned duly authorized person. (Check this box	or due to the nature of the offering that is the subject or vise and can require offering materials only to the exte of the external structure of th	of this Form D, States cannot nt NSMIA permits them to do e signed on its behalf by the
	in Item 1 above but not represented by signer below.)		
Global Equipment Services and Manufacturing Inc.  Don Tran	Issuer(s)	Name of Signer	
	Global Equipment Services and Manufacturing Inc.	Don Tran	
Signature Title	Signature	Title	
President and Chief Executive Officer	ton/plan	President and Chief Executive Officer	
Date			Date
Number of continuation pages attached: 1 2/9/2009	Number of continuation pages attached: 1		2/9/2009

### U.S. Securities and Exchange Commission

Washington, DC 20549

# **Item 3 Continuation Page**

Item 3. Related Persons (Continued)

Last Name	First Name	·	Middle Name
Nguyen	Huan		P.
Street Address 1		Street Address 2	
2372-E Qume Drive			
City	State/Province/Country	ZIP/Postal Code	
San Jose	CA	95131	
Relationship(s): Executive Officer	☑ Director ☐ Promoter		
Clarification of Response (if Necessary)			
ــــا			
Last Name	First Name		Middle Name
Nguyen	Julien		
Street Address 1		Street Address 2	
2372-E Qume Drive			
City	State/Province/Country	ZIP/Postal Code	
San Jose	CA	95131	
Relationship(s): Executive Officer	Director Promoter		
Clarification of Response (if Necessary)			
·			
Last Name	First Name		Middle Name
Street Address 1		Street Address 2	
City	State/Province/Country	ZIP/Postal Code	
		1 1	
1			
Relationship(s): Executive Officer	☐ Director ☐ Promoter		
	☐ Director ☐ Promoter		·
Relationship(s): Executive Officer  Clarification of Response (if Necessary)	☐ Director ☐ Promoter		
	Director Promoter		Middle Name
Clarification of Response (if Necessary)			Middle Name
Clarification of Response (if Necessary)		Street Address 2	Middle Name
Clarification of Response (if Necessary)  Last Name			Middle Name
Clarification of Response (if Necessary)  Last Name			Middle Name
Clarification of Response (if Necessary)  Last Name  Street Address 1	First Name	Street Address 2	Middle Name
Clarification of Response (if Necessary)  Last Name  Street Address 1  City	First Name	Street Address 2  ZIP/Postal Code	Middle Name
Clarification of Response (if Necessary)  Last Name  Street Address 1  City	First Name  State/Province/Country	Street Address 2  ZIP/Postal Code	Middle Name

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